

PATENT
8002.1001

Handwritten initials and date: #SA, JB, 6/6/02

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of: Koki MATSUBARA et al.
Appl. No.: NEW NATIONAL PHASE APPLICATION IN THE UNITED STATES Group:
Filed: March 4, 2002 Examiner:
For: PROCESS FOR THE PREPARATION OF TRICYCLIC AMINO ALCOHOL DERIVATIVES

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, DC 20231

March 4, 2002

Sir:

Prior to the first Official Action and calculation of the filing fee, the following preliminary amendments and remarks are respectfully submitted in connection with the above-identified application.

IN THE ABSTRACT OF THE DISCLOSURE:

Please add the Abstract of the Disclosure attached on a separate sheet attached hereto.

IN THE CLAIMS:

Please add the following claims:

--13. (new) A process for the preparation of a compound of the formula

(1):

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